

## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of: LIANG ET AL.

Docket No. 05213-0551US (43170-258385)

Serial No. 10/070,560 - U.S. National Phase of PCT/US00/25166

Filed: September 14, 2000

For: METHOD OF PRODUCING AND PURIFYING ENDOSTATIN PROTEIN

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are papers in the above-identified application.

- Formalities Letter – Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).
- Petition for Extension of Time Under 37 C.F.R. § 1.136.
- Executed Declarations and Powers of Attorney – 9 pages.
- Petition Under 37 CFR 1.47(a) for an Uncooperative Inventor w/Exhibits A-E.
- Sequence Listing Transmittal with 11 pages paper copy and electronic copy.
- The additional fee is calculated as shown below.
- Applicant claims small entity status.

FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$370		\$740	
TOTAL CLAIMS	- 20 =	x9 =			x18 =	
INDEP. CLAIMS	- 3 =	x42 =			x84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
<input type="checkbox"/> LATE-FILING SURCHARGE			+65 =		+130 =	
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130=		+130 =	
<input type="checkbox"/> OTHER					+435=	
			<b>TOTAL</b>		<b>TOTAL</b>	

- A check in the amount of \$55 is attached to cover the fee for a one-month extension of time.
- A check in the amount of \$130 is attached to cover the fee for a Petition for Uncooperative Inventor.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 12, 2002.

Theodore M. Green - Reg. No. 41,801

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/070,560	Hong LIANG	05213-0551US(43170-258385)
INTERNATIONAL APPLICATION NO.		
PCT/US00/25166		
I.A. FILING DATE		PRIORITY DATE
09/14/2000		09/14/1999
<b>CONFIRMATION NO. 7039</b>		
<b>371 FORMALITIES LETTER</b>		
 *OC000000008256190*		

Date Mailed: 06/12/2002

### **NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

← • Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. →

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

← • The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s): →

DOCKETED FOR 8/12/02 RG 258 385  
 ENTERED COMPUTER ON 6/26/02

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- **APPLICANT MUST PROVIDE:**
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

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Telephone: (703) 305-3662

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PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,560	PCT/US00/25166	05213-0551US(43170-258385